SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 32

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] TEINERT MATTHEW EVAN			2. Date of Event Requiring Statement (Month/Day/Year) 10/23/2024		r Name and Ticker or Trading Roller Technologies				
(Last) 400 SOUTH 4T	(Last) (First) (Middle) 400 SOUTH 4TH STREET, SUITE 500-#390			4. Relationship of Reporting Person (Check all applicable) Director X Officer (give title below)		10% Owner Other (specify below)	5. If Amendment, Date of Original Filed (Month/Day/Year)		
(Street) LAS VEGAS, (City)	NV (State)	89101 (Zip)			Chief Financial C	Officer	 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person 		

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)	
Common Stock	4,167	D		

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		or Exercise	Form: Direct (D) or	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security	Indirect (I) (Instr. 5)	
Restricted Stock Unit	(1)	(1)	Common Stock	5,833(2)	(1)	D	
Stock Option (Right to Buy)	05/01/2024	01/18/2034	Common Stock	30,000 ⁽³⁾	6.33	D	

Explanation of Responses:

1. RSUs vest ratably, quarterly on October 31, 2024; January 31, 2025; April 30, 2025; July 31, 2025; October 31, 2025; January 31, 2026; and April 30, 2026.

2. Each Restricted Stock Unit is the equivalent of one share of common stock of High Roller Technologies. Inc.

3. 10,000 options vested on May 1, 2024; 2,500 options vested July 31, 2024; remaining options vest quarterly in 2,500 increments on October 31, 2024; January 31, 2025; April 30, 2025; July 31, 2025; October 31, 2025; January 31, 2026; and April 30, 2026.

<u>/s/ Matthew Teinert</u> ** Signature of Reporting Person 10/23/2024

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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